

ATTORNEY DOCKET NO.: 2003P12643US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Gupta, et al.
 Appln. No.: 10/676,697
 Filing Date: Sept. 30, 2003
 Title: **IMPROVED OLED EMISSIVE
 POLYMER LAYER**
 Examiner: Unassigned
 Art Unit: 1774

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This Information Disclosure Statement is submitted:

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 (Within three months of either ☐ the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d) or ☐ the date of national stage entry of international application; or ☒ before mailing date of first office action on the merits; or ☐ before mailing date of a first office action after filing of a request for continued examination under 37 CFR 1.114).
- ☐ under 37 CFR 1.97 (c) together with either a:
☐ Certification under 37 CFR 1.97 (e), OR
☐ the \$ 180.00 fee under 37 CFR 1.17 (p) authorized to be charged to Deposit Account 19-2179. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25.
 (After the 37 CFR 1.97 (b) time period, but before mailing date of any of ☐ a final action under 37 CFR 1.113, or ☐ a notice of allowance under 37 CFR 1.311, or ☐ an action that otherwise closes prosecution).
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Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ together with hard copies of all references as this application was filed before June 30, 2003; or
☐ with hard copies of only non-U.S. patents or non-U.S. published patent applications or U.S. pending unpublished patent applications (or portion thereof) or publications or other information of which applicant(s) are aware and which should be made of record in the above-identified application; or
☐ without hard copies of publications or other information which have been submitted previously in the parent application (application no. _____) to which the above-identified application claims priority under 35 USC 120 and which should be made of record in the above-identified application.

37 CFR 1.97(e) Certifications:

☐ The undersigned hereby certifies under 37 CFR 1.97(e)(1) that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. OR

☐ The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content that is given on ☐ the attached separate sheet or ☐ incorporated in the applicant's specification or ☐ by the enclosed English-language translation or portion thereof within the possession, custody, or control of, or is readily available to any individual designated in 37 CFR 1.56 (c).

☒ Applicant does not believe any fee is due. However, at any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25. A duplicate copy of this sheet is enclosed.

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Date: April 11, 2005

Substitute for Form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/676,697
				Filing Date	Sept. 30, 2003
				First Named Inventor	Gupta, et al.
				Group Art Unit	1774
				Examiner Name	Unassigned
				Attorney Docket Number	2003P12643US
Sheet	1	of	1		

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		2003/0127977 A1		Bae et al.		
		2002/0197392 A1		Endo et al.		

FOREIGN PATENT DOCUMENTS								
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